

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: John F. Engelhardt et al.

Title: ADENO-ASSOCIATED VIRUS VECTORS

Docket No.: 875.007US2

Filed: January 22, 2002

Examiner:

Serial No.: 10/054,665

Due Date: June 10, 2002

Group Art Unit: 1636

Attn: Box Sequence
U.S. Patent and Trademark Office
Arlington, VA 22202

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Copy of Notice to File Corrected Application Papers (2 pg.)
- ☒ Disk copy of Sequence Listing

If not provided in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Janet E. Embrey
Name: Janet E. Embrey
Reg. No. 39,665
JEE:CMG:hst

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 10 day of June, 2002.

Name

Helkei Tinsley

Signature

Helkei Tinsley

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S/N 10/054,665



PATENT

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Applicant:	John F. Engelhardt et al.	Examiner:
Serial No.:	10/054,665	Group Art Unit: 1636
Filed:	January 22, 2002	Docket: 875.007US2
Title:	ADENO-ASSOCIATED VIRUS VECTORS	

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Sequence
U.S. Patent and Trademark Office
P.O. 2327
Arlington, VA 22202

In response to the "Notice to File Corrected Application Papers" (see attached copy), enclosed please find a disk copy of the sequence listings for the above-identified application. On even date herewith, a paper copy of the sequence listing, along with other papers requested in the "Notice to File Corrected Application Papers", were mailed to Box Missing Parts, Commissioner of Patents, Washington, D.C. 20231.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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Minneapolis, MN 55402
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Date

June 11, 2002

By

Janet E. Embretson
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UNITED STATES PATENT AND TRADEMARK OFFICE

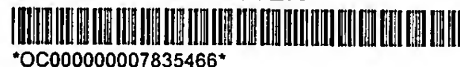
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,665	01/22/2002	John F. Engelhardt	875.007US2

CONFIRMATION NO. 4004

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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MINNEAPOLIS, MN 55402

FORMALITIES LETTER



OC000000007835466

00000003 10054665

01 FC:203
02 FC:202

216.00 OP
42.00 OP

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Adjustment date: 08/07/2002
06/24/2002 06/24/2002 00000103 10054665
03 FC:203
-324.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$548.
 - \$324 for 36 total claims over 20.
 - \$84 for 2 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 983.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

06/18/2002 JBALINAN 00000018 190743 10054665

01 FC:201 370.00 CH
02 FC:202 84.00 CH
03 FC:203 324.00 CH
04 FC:204 140.00 CH
05 FC:205 65.00 CH

Rep'n. Ref: 08/07/2002 10054665 0007262900
DOB: 190743 Name/Number: 10054665
FC: 704 \$66.00 CR

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY